

PRESENT

Ms Margaret Nicholls (Chairperson)
Mr Bryce McNair
Mr Adrian Lindner
Councillor Y Braid OAM

IN ATTENDANCE

Director Corporate Services
Manager Risk and Governance
Corporate Governance Coordinator
Director Operations - for RP9 & RP10
Manager Technical & Strategy – for RP9 & RP10

Natalie Te Pohe
Ingrid Hensley
Nicole Johnson
Caroline Angel
Peter Ross

APOLOGIES

The Mayor, Councillor G Conkey OAM
Councillor R Kendall
Councillor D Hayes
Peter Thompson (General Manager)
Lawrissa Chan (Director, Financial Audit NSW Audit Office)
Michael Kharzoo (Audit Leader, Financial Audit NSW Audit Office)

LEAVE OF ABSENCE

Councillor D Tout
Mr David Rosetta

The Committee noted that a leave of absence was granted to Councillor Dallas Tout and David Rosetta at the Audit, Risk and Improvement Committee Meeting on 10 November 2019.

The meeting was attended by members of Snowy Valley Council to observe Council's operation of the Audit, Risk and Improvement Committee. In attendance was the Chair of the Snowy Valley Audit, Risk and Improvement Committee, Glenyce Francis and Coordinator Governance of Risk, Brook Penfold.

The meeting of the Audit, Risk and Improvement Committee commenced at 8:00am.

ACKNOWLEDGEMENT OF COUNTRY

I would like to acknowledge the Wiradjuri people who are the Traditional Custodians of this Land. I would also like to pay respect to the Elders both past and present of the Wiradjuri Nation and extend that respect to other Community members present.

CONFIRMATION OF MINUTES

CM-1 AUDIT, RISK AND IMPROVEMENT COMMITTEE MINUTES

Recommendation:

On the Motion of A Lindner and M Nicholls

That the Minutes of the proceedings of the Audit, Risk and Improvement Committee Meeting held on 10 October 2019 be confirmed as a true and accurate record, noting that the recommendations contained in the minutes of that meeting were endorsed by Council at its Ordinary Meeting on 28 October 2019.

CARRIED

DECLARATIONS OF INTEREST

No declarations of interest were received.

CHANGE OF STANDING ORDERS

At this stage of the meeting, the Chair, M Nicholls advised the Committee, that due to operational staff requirements RP-10 SENIOR MANAGER PRESENTATION - MANAGER TECHNICAL AND STRATEGY and RP-9 CAPITAL WORKS UPDATE be brought forward to the start of the meeting.

REPORTS

RP-10 SENIOR MANAGER PRESENTATION - MANAGER TECHNICAL AND STRATEGY

Recommendation:

On the Motion of B McNair and Councillor Y Braid

That the Audit, Risk and Improvement Committee note the presentation by the Manager Technical and Strategy.

CARRIED

RP-9 CAPITAL WORKS UPDATE

Recommendation:

On the Motion of B McNair and Councillor Y Braid

That the Audit, Risk and Improvement Committee note the contents of the report.

CARRIED

PROCEDURAL MOTION - ENGLOBO

Recommendation:

On the Motion of A Lindner and M Nicholls

That RP-2 - Riverina Regional Library - 2018/19 Financial Statements be adopted as recommended in the business papers.

CARRIED

RP-1 NSW AUDIT OFFICE AUDIT OPINIONS ON THE 2018/19 GENERAL PURPOSE AND SPECIAL PURPOSE FINANCIAL STATEMENTS AND REPORT ON THE CONDUCT OF THE AUDIT

Recommendation:

On the Motion of A Lindner and Councillor Y Braid

That the Audit, Risk and Improvement Committee receive and note the NSW Audit Office:

- a Audit Opinion on the 2018/19 General Purpose Financial Statement**
- b Audit Opinion on the 2018/19 Special Purpose Financial Statement**
- c 2018/19 Report on the Conduct of the Audit**
- d Audit Opinion on the Special Schedule – Permissible income for General Rates for the year ending 30 June 2020**

CARRIED

RP-2 RIVERINA REGIONAL LIBRARY - 2018/19 FINANCIAL STATEMENTS

Recommendation:

On the Motion of A Lindner and M Nicholls

That the Audit, Risk and Improvement Committee receive and note the 2018/19 Riverina Regional Library Financial Statements.

CARRIED

RP-3 SEPTEMBER 2019 QUARTERLY BUDGET REVIEW

Recommendation:

On the Motion of M Nicholls and Councillor Y Braid

That the Audit, Risk and Improvement Committee:

- a note the Quarterly Budget Review for the quarter ended 30 September 2019 reported to Council at the 28 October 2019 Council meeting.**
- b note verbal information on monthly management reporting to the organisation provided by Director Corporate Services**

CARRIED

RP-4 AUDIT, RISK AND IMPROVEMENT COMMITTEE MEETING DATES AND PLANNING SCHEDULE 2020

Recommendation:

On the Motion of B McNair and M Nicholls

That the Audit, Risk and Improvement Committee:

- a approve the meeting schedule for 2020 (20 February, 7 May, 6 August, 8 October and 19 November 2020, commencing at 8.00am) subject to confirmation of availability**
- b approve the Audit, Risk and Improvement Committee Planning Schedule 2020 – 2021, noting further review is anticipated to be undertaken by the incoming internal audit resource**
- c request that the General Manager update be provided as a written report**

CARRIED

RP-5 2018/19 ARIC ANNUAL REPORT AND SELF-ASSESSMENT QUESTIONNAIRE

Recommendation:

On the Motion of A Lindner and M Nicholls

That the Audit, Risk and Improvement Committee:

- a endorse the draft Audit, Risk and Improvement Committee Annual Report 2018-19**
- b request the Audit, Risk and Improvement Committee Annual Report 2018-19 be presented at the next available Council meeting**
- c note the draft Internal Audit Annual Report 2018-19 is to be finalised**
- d request that Director/Manager presentations be provided in the business paper**
- e request that the Chairperson report be included in the Councillor Bulletin**
- f note the discussion regarding opportunities for improvement arising from the self-assessment questionnaire**

CARRIED

RP-6 INTERNAL AUDIT UPDATE

Recommendation:

On the Motion of Councillor Y Braid and B McNair

That the Audit, Risk and Improvement Committee:

- a note the current reduced capacity of internal audit which is reflected in the level of current activity and review**
- b note the steps being undertaken to secure a dedicated internal audit resource**

CARRIED

RP-7 GOVERNANCE AND RISK UPDATE

Recommendation:

On the Motion of M Nicholls and A Lindner

That the Audit, Risk and Improvement Committee receive and note this report.

CARRIED

RP-8 ARIC ACTIONS REGISTER

Recommendation:

On the Motion of Councillors M Nicholls and B McNair

That the Audit, Risk and Improvement Committee receive and note the report.

CARRIED

LOCAL GOVERNMENT ASSURANCE FORUM

The Chair, M Nicholls sincerely thanked Council for approving her recent attendance at the Local Government Assurance Forum held in Sydney on 14 and 15 November 2019. She advised the Committee of her appreciation in attending such a valuable forum and that she will provide the Committee with a report on her learnings at the next ARIC meeting.

QUESTIONS WITH NOTICE

No Questions With Notice were received.

The Audit, Risk and Improvement Committee rose at 10:45am.