

## **PRESENT**

Ms Margaret Nicholls (Chairperson)  
Mr Adrian Lindner  
Mr Bryce McNair  
Mr David Rosetta  
Councillor Dallas Tout  
Councillor Rod Kendall

## **IN ATTENDANCE**

The Mayor	Councillor Greg Conkey OAM
General Manager	Peter Thompson
Chief Audit Executive	Kaushie Jaya
Director, Financial Audit NSW Audit Office (RP-4 & RP-5)	Lawrissa Chan
Audit Leader, Financial Audit NSW Audit Office (RP-5)	Sherry Reynell
Chief Financial Officer (RP-5)	Carolyn Rodney
Senior Financial Accountant (RP-5)	Zac Wilson
Manager Risk and Governance	Ingrid Hensley
Corporate Governance Coordinator	Nicole Johnson
Insurance and Risk Officer	Cathy Wade

The meeting of the Audit, Risk and Improvement Committee commenced at 8:00am.

## **ACKNOWLEDGEMENT OF COUNTRY**

Wagga Wagga City Council acknowledges the traditional custodians of the land, the Wiradjuri people, and pays respect to Elders past, present and future and extends our respect to all First Nations Peoples in Wagga Wagga.

We recognise and respect their cultural heritage, beliefs and continuing connection with the land and rivers. We also recognise the resilience, strength and pride of the Wiradjuri and First Nations communities.

## **APOLOGIES**

No apologies were received.

## **CONFIRMATION OF MINUTES**

### **CM-1 AUDIT, RISK AND IMPROVEMENT COMMITTEE MINUTES**

***Recommendation:***

On the Motion of D Rosetta and Councillor R Kendall

That the Minutes of the proceedings of the Audit, Risk and Improvement Committee Meeting held on 19 August 2021 be confirmed as a true and accurate record, noting that the recommendations contained in the minutes of that meeting were endorsed by Council at its Ordinary Meeting on 13 September 2021.

**CARRIED**

## **DECLARATIONS OF INTEREST**

No declarations of interest were received.

## **REPORTS**

### **RP-1 BUSINESS ARISING FROM ARIC MEETING ON 20 MAY 2021 AND 19 AUGUST 2021**

***Recommendation:***

On the Motion of D Rosetta and B McNair

That the Audit, Risk and Improvement Committee note the actions being taken to address outstanding business, including those arising from the 19 August 2021 ARIC Meeting, with the Committee requesting the following further actions:

- receive a report at the November 2021 ARIC meeting on Council's Bridge Register, including a risk assessment
- coordination of a separate meeting between the Audit, Risk and Improvement Committee and the Chief Audit Executive in conjunction with the November 2021 ARIC meeting
- endorse the Airport Facility Manager to present to the November 2021 ARIC meeting
- endorse the Director Projects to present to the February 2022 ARIC meeting

**CARRIED**

**RP-2 GUIDELINES FOR RISK MANAGEMENT AND INTERNAL AUDIT FOR LOCAL COUNCILS IN NSW**

***Recommendation:***

On the Motion of M Nicholls and Councillor R Kendall

**That the Audit, Risk and Improvement Committee:**

- a provide comments to the Chairperson on the Guidelines for Risk Management and Internal Audit for Local Councils in NSW by 31 October 2021 for inclusion into a submission to the Office of Local Government NSW**
- b endorse the Chair to prepare a submission on behalf of ARIC and submit to the Office of Local Government NSW, with that submission to be provided to the secretariat of ARIC for inclusion in the next occurring ARIC Business Paper**
- c note a separate submission will be submitted to the Office of Local Government NSW by Council**

**CARRIED**

**RP-3 GENERAL MANAGER'S UPDATE**

***Recommendation:***

On the Motion of A Lindner and M Nicholls

**That the Audit, Risk and Improvement Committee:**

- a receive and note the update provided by the General Manager including:**
  - Internal Audit resourcing**
  - Engineering Area**
  - Riverina Regional Library**
  - Riverina Water**
  - Remote working**
  - Mayoral position and delegations during the election period**
  - COVID restrictions**
  - NSW ICAC Investigation Keppel**
- b receive a report at the November 2021 ARIC meeting outlining the Chief Audit Executive's leave arrangements including scheduling of audits to be undertaken during this period, funding to be allocated, steps to be taken and timing of additional resources**
- c in relation to the verbal report from the General Manager, expresses concern at the removal of the Civic Office of the Mayor from the Council where the Mayor is elected by Council, between the date of the General Election and the subsequent Election of the Mayor at the first Ordinary Meeting of the new Council**

**CARRIED**

**RP-4 ARIC ACTIONS REGISTER**

***Recommendation:***

On the Motion of M Nicholls and B McNair

**That the Audit, Risk and Improvement Committee:**

- a receive and note the report**
- b note that the report on the IT access controls that was to be presented to the October 2021 ARIC meeting be received at the November 2021 ARIC meeting and request that the report addresses the six dot points reraised in the 2020/21 NSW Audit Office Draft Report on the Conduct of the Audit**

**CARRIED**

**RP-5 2020/21 FINANCIAL STATEMENTS**

***Recommendation:***

On the Motion of A Lindner and D Rosetta

**That the Audit, Risk and Improvement Committee:**

- a receive and note presentation from Council's auditors, the NSW Audit Office**
- b recommends that Council approve the signing of the 2020/21 Financial Statements pursuant to Section 413(2)(c) of the Local Government Act 1993 and the Local Government Code of Accounting Practice and Financial Reporting with the proposed amendment to the General Purpose Financial Statements Restated Balances**
- b commend Council staff on the quality and timeliness of the audited papers and additionally thank the NSW Audit Office for its continued oversight of Councils Financial Statements**

**CARRIED**

**QUESTIONS WITH NOTICE**

No questions with notice were received.

The Audit, Risk and Improvement Committee rose at 10.37am.